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# W. R. Grace **Asbestos Personal Injury** Questionnaire



WR GRACE PIQ 43987-0082

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# IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

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,V	VR GRA	CE	PIQ	43987	7-0003

In re:	) Chapter 11
W. R. GRACE & CO., <u>et al.</u> ,	) Case No. 01-01139 (JKF) ) Jointly Administered
Debtors.	ý

# W. R. Grace Asbestos Personal Injury Questionnaire

YOU HAVE RECEIVED THIS QUESTIONNAIRE BECAUSE GRACE BELIEVES THAT YOU HAD SUED ONE OR MORE OF THE DEBTORS LISTED IN APPENDIX A ATTACHED TO THIS QUESTIONNAIRE BEFORE GRACE FILED FOR BANKRUPTCY ON APRIL 2, 2001 FOR AN ASBESTOS-RELATED PERSONAL INJURY OR WRONGFUL DEATH CLAIM, AND THAT CLAIM WAS NOT FULLY RESOLVED.

IF YOU HAVE SUCH A CLAIM, YOU MUST COMPLETE AND SUBMIT THIS QUESTIONNAIRE BY JANUARY 12, 2006 TO RUST CONSULTING, INC., THE CLAIMS PROCESSING AGENT, AT ONE OF THE FOLLOWING ADDRESSES:

### IF SENT BY U.S. MAIL

IF SENT BY FEDERAL EXPRESS, UNITED PARCEL SERVICE, OR A SIMILAR HAND DELIVERY SERVICE

RUST CONSULTING, INC. CLAIMS PROCESSING AGENT RE: W.R. GRACE & CO. BANKRUPTCY P.O. BOX 1620 FARIBAULT, MN 55021 RUST CONSULTING, INC. CLAIMS PROCESSING AGENT RE: W.R. GRACE & CO. BANKRUPTCY 201 S. LYNDALE AVE. FARIBAULT, MN 55021

A QUESTIONNAIRE (AND ANY AMENDMENTS OR ADDITIONAL DOCUMENTS IN SUPPORT OF THE QUESTIONNAIRE) WILL <u>NOT</u> BE CONSIDERED UNLESS RECEIVED BY RUST CONSULTING, INC. BY JANUARY 12, 2006.

THIS QUESTIONNAIRE IS AN OFFICIAL DOCUMENT APPROVED BY THE COURT IN CONNECTION WITH ESTIMATING GRACE'S ASBESTOS-RELATED PERSONAL INJURY AND WRONGFUL DEATH CLAIMS AS A WHOLE. THE QUESTIONNAIRE IS BEING USED BY W. R. GRACE AS A MEANS TO SEEK INFORMATION ABOUT YOUR ASBESTOS CLAIM. BY TIMELY RETURNING THE QUESTIONNAIRE AS COMPLETELY AND ACCURATELY AS POSSIBLE, GRACE, THE OFFICIAL COMMITTEES, AND THE FUTURE CLAIMANTS REPRESENTATIVE WILL SEEK TO PRIORITIZE THE PROCESSING OF YOUR CLAIM UNDER ANY TRUST DISTRIBUTION PROCEDURES APPROVED AS PART OF A PLAN OF REORGANIZATION.

THE COURT HAS ORDERED THAT, AS PART OF THE DISCOVERY PROCESS, ALL HOLDERS OF PRE-PETITION ASBESTOS PERSONAL INJURY CLAIMS MUST COMPLETE AND RETURN THIS QUESTIONNAIRE. THUS, FAILURE TO TIMELY RETURN THE QUESTIONNAIRE AS COMPLETELY AND ACCURATELY AS POSSIBLE MAY RESULT IN SANCTIONS AND/OR OTHER RELIEF AVAILABLE UNDER APPLICABLE FEDERAL RULES.

BECAUSE YOUR CLAIM WILL BE EVALUATED IN ACCORDANCE WITH THE TRUST DISTRIBUTION PROCEDURES APPROVED AS PART OF A PLAN OF REORGANIZATION, COMPLETION OF THIS QUESTIONNAIRE DOES NOT MEAN THAT YOUR CLAIM WILL EITHER BE ALLOWED OR PAID. TO THE EXTENT YOU ATTACH TO THIS QUESTIONNAIRE DOCUMENTS ALSO NEEDED BY THE TRUST TO PROCESS YOUR CLAIM, SUCH DOCUMENTS WILL BE PROVIDED TO THE TRUST AND YOU WILL NOT NEED TO RESUBMIT THEM.

### INSTRUCTIONS



A. GENERAL This Questionnaire refers to any lawsuit that you filed before April 2, 2001 for an "asbestos-related WR GRACE PIQ wrongful death claim." This term is intended to cover any lawsuit alleging any claim for personal injuriesthat relates to: (a) exposure to any products or materials containing asbestos that were manufactured, sold, supplied, produced, specified, selected, distributed or in any way marketed by one or more of the Debtors (or any of their respective past or present affiliates, or any of the predecessors of any of the Debtors or any of their respective past or present affiliates), or (b) exposure to vermiculite mined, milled or processed by the Debtors (or any of their respective past or present affiliates, any of the predecessors of any of the Debtors or any of their predecessors' respective past or present affiliates). It includes claims in the nature of or sounding in tort, or under contract, warranty, guarantee, contribution, joint and several liability, subrogation, reimbursement, or indemnity, or any other theory of law or equity, or admiralty for, relating to, or arising out of, resulting from, or attributable to, directly or indirectly, death, bodily injury, sickness, disease, or other personal injuries or other damages caused, or allegedly caused, directly or indirectly, and arising or allegedly arising, directly or indirectly, from acts or omissions of one or more of the Debtors. It includes all such claims, debts, obligations or liabilities for compensatory damages such as loss of consortium, personal or bodily injury (whether physical, emotional or otherwise), wrongful death, survivorship, proximate, consequential, general, special, and punitive damages.

2. Your Questionnaire will be deemed filed only when it has been received by Rust Consulting Inc., the Claims Processing Agent, via U.S. Mail, Federal Express, United Parcel Service or a similar hand delivery service. A Questionnaire that is submitted by facsimile, telecopy or other electronic transmission will not be accepted and will not be deemed filed.

Do not send any Questionnaire to the Debtors, counsel for the Debtors, the Future Claimants Representative, the Official Committee of Unsecured Creditors, the Official Committee of Asbestos Personal Injury Claimants, the Official Committee of Asbestos Property Damage Claimants, the Official Committee of Equity Security Holders, or such Committees' counsel. Questionnaires that are filed with or sent to anyone other than Rust Consulting, Inc. will be deemed not to have been submitted, and such Questionnaires will not be considered.

- 3. Your completed Questionnaire must (i) be written in English, and (ii) attach relevant supporting materials as instructed further below.
- 4. All holders of claims described on page i (and as described in further detail in Instruction A (1) above) are required to file this Questionnaire by Jan. 12, 2006. Your Questionnaire will be used in connection with the estimation hearing to be conducted by the Court pursuant to the Estimation Procedures Order (a copy of which is attached as Appendix B).
- Any subsequent amendment to the Questionnaire will not be considered for any purpose unless received by Jan. 12, 2006.

B. PART I - Identity of Injured Person and Legal Counsel

Respond to all applicable questions. If you are represented by a lawyer, then in Part I (b), please provide your lawyer's name and the name, telephone number and address of his/her firm. If you are represented by a lawyer, he/she must assist in the completion of this Questionnaire. Also, if you would prefer that the Debtors send any additional materials only to your lawyer, instead of sending such materials to you, then check the box indicating this in Part I (b).

All references to "you" or the like in Parts I through X shall mean the injured person. If the injured person is deceased, then the executor of the person's will (or similar estate representative) must complete this Questionnaire.

C. PART II -- Asbestos-Related Condition(s)

Please indicate all asbestos-related medical conditions for which you have been diagnosed. To complete questions related to injuries, medical diagnoses, and/or conditions, please use the following categories of customarily diagnosed conditions:

- Mesothelioma
- Asbestos-Related Lung Cancer
- Other Cancer (colon, laryngeal, esophageal, pharyngeal, or stomach)
- Clinically Severe Asbestosis
- Asbestosis
- · Other Asbestos Disease

If you have been diagnosed with multiple conditions and/or if you received diagnoses and diagnostic tests relating to the same condition by multiple doctors, please complete a separate Part II for each initial diagnosis and any previous or subsequent diagnoses or diagnostic tests that change or conflict with the initial diagnosis. For your convenience, additional copies of Part II are attached as Appendix C to this Questionnaire.

Supporting Documents for Diagnosis: This Questionnaire must be accompanied by copies, with access to originals upon request, of any and all documents you, your counsel, or your doctors have or subsequently obtain that support or conflict with your diagnosis.

X-rays and B-reads: Please attach all x-ray readings and reports. You may, but are not required to, attach chest x-rays. The court, however, has ruled that Grace may seek access to chest x-rays upon request.

Pulmonary Function Tests: Please attach all pulmonary function test results, including the actual raw data and all spirometric tracings, on which the results are based.

### D. PART III - Direct Exposure to Grace Asbestos-Containing Products

In Part III, please provide the requested information for the job and site at which you w asbestos-containing products. Indicate the dates of exposure to each Grace asbestos-containing products. Indicate the dates of exposure to each Grace asbestos-containing products. was a result of your employment, use the list of occupation and industry codes below to indicate your employment, use the list of occupation and the industry in which you worked at each site. If you allege exposure to Grace asbestos-containing products at multiple sites, the Court has ordered that you must complete a separate Part III for each site. For your convenience, additional copies of Part III are attached as Appendix D to this Questionnaire.

Attach copies of any and all documents establishing that exposure to Grace asbestos-containing products had a substantial causal role in the development of the disease.

### **Occupation Codes**

- 01. Air conditioning and heating installer/maintenance
- 02. Asbestos miner
- 03. Asbestos plant worker/asbestos manufacturing worker
- 04. Asbestos removal/abatement
- 05. Asbestos sprayer/spray gun mechanic
- 06. Assembly line/factory/plant worker
- 07. Auto mechanic/bodywork/brake repairman
- 08. Boilermaker
- 09. Boiler repairman
- 10. Boiler worker/cleaner/inspector/engineer/installer
- 11. Building maintenance/building superintendent
- 12. Brake manufacturer/installer
- 13. Brick mason/layer/hod carrier
- 14. Burner operator
- 15. Carpenter/woodworker/cabinetmaker
- 16. Chipper
- 17. Clerical/office worker
- 18. Construction general
- 19. Custodian/janitor in office/residential building
- 20. Custodian/janitor in plant/manufacturing facility
- 21. Electrician/inspector/worker
- 22. Engineer
- 23. Firefighter
- 24. Fireman
- 25. Flooring installer/tile installer/tile mechanic
- 26. Foundry worker
- 27. Furnace worker/repairman/installer
- 28. Glass worker
- 30. Insulator

- 31. Iron worker
- 32. Joiner
- 33. Laborer
- 34. Longshoreman
- 35. Machinist/machine operator
- 36. Millwright/mill worker
- 37. Mixer/bagger .
- 38. Non-asbestos miner
- 39. Non-occupational/residential
- 40. Painter
- 41. Pipefitter
- 42. Plasterer
- 43. Plumber install/repair
- 44. Power plant operator
- 45. Professional (e.g., accountant, architect, physician)
- 46. Railroad worker/carman/brakeman/machinist/conductor
- 47. Refinery worker
- 48. Remover/installer of gaskets
- 49. Rigger/stevedore/seaman
- 50. Rubber/tire worker
- 51. Sandblaster
- 52. Sheet metal worker/sheet metal mechanic
- 53. Shipfitter/shipwright/ship builder
- 54. Shipyard worker (md. repair, maintenance)
- 55. Steamfitter
- 56. Steelworker
- 57. Warehouse worker
- 58. Welder/blacksmith
- 29. Heavy equipment operator (includes truck, forklift, & crane) 59. Other

### **Industry Codes**

- 001. Asbestos abatement/removal
- 002. Aerospace/aviation
- 100. Asbestos mining
- 101. Automotive
- 102. Chemical
- 103. Construction trades
- 104. Iron/steel
- 105. Longshore
- 106. Maritime
- 107. Military (other than U.S. Navy)
- 108. Non-asbestos products manufacturing

- 109. Petrochemical
- 110. Railroad
- 111. Shipyard-construction/repair
- 112. Textile
- 113. Tire/rubber
- 114. U.S. Navy
- 115. Utilities
- 116. Grace asbestos manufacture or milling
- 117. Non-Grace asbestos manufacture or milling
- 118. Other

### E. PART IV - Indirect Exposure to Grace Asbestos-Containing Products



In Part IV, please provide the information requested for any injury alleged to have been caused by experimental was as a substant of the subst

### F. PART V - Exposure to Non-Grace Asbestos-Containing Products

In Part V, please provide the requested information for each party against which you have filed a lawsuit and/or claim alleging exposure to asbestos-containing products other than Grace products. If you filed such lawsuits and/or claims against multiple parties, the Court has ordered that you must complete a separate Part V for each party. If exposure was in connection with your employment, use the list of occupation and industry codes in Part III to indicate your occupation and the industry in which you worked. For your convenience, additional copies of Part V are attached as Appendix F to this Questionnaire.

### G. PART VI -- Employment History

In Part VI, please provide the information requested for each industrial job you have held, other than jobs already listed in Parts III or V. Use the list of occupation and industry codes in the instructions to Part III to indicate your occupation and the industry in which you worked for each job. Please use the copy of Part VI attached as Appendix G to this Questionnaire if additional space is needed.

### H. PART VII -- Litigation and Claims Regarding Asbestos and/or Silica

In Part VII, please describe any lawsuits and/or claims that were filed by you or on your behalf regarding asbestos or silica.

### I. PART VIII -- Claims by Dependents or Related Persons

Part VIII is to be completed only by dependents or related persons (such as spouse or child) of an injured person who sued the Debtors before April 2, 2001 for an asbestos-related personal injury or wrongful death claim against Grace <u>not</u> involving physical injury to him-/herself on account of his/her own exposure. One example of such a claim would be a claim for loss of consortium. If you are asserting such a claim, complete the entire Questionnaire, providing all information and documentation regarding the injured person.

### J. PART IX -- Supporting Documentation

In Part IX, please mark the boxes next to each type of document that you are submitting with this Questionnaire. As indicated in the instructions to Parts II and III, this Questionnaire must be accompanied by copies, with access to originals upon request, of any and all documents you, your counsel, or your doctors have or subsequently obtain that (a) support or conflict with your diagnosis and/or (b) establish exposure to Grace asbestos-containing products as having a substantial causal role in the development of the medical diagnoses, and/or conditions claimed. Original documents provided to Grace will be returned within a reasonable time after its professionals and experts have reviewed the documents.

Grace will reimburse your reasonable expenses incurred in providing (a) copies of depositions you have given in lawsuits in which Grace was not a party and/or (b) any documents you have previously provided to Grace in prior litigation. Please indicate the documents for which you are seeking reimbursement and attach a receipt for such cost.

### K. PART X -- Attestation that Information is True, Accurate and Complete

By signing Part X, you, the injured person, are attesting and swearing, under penalty of perjury, that, to the best of your knowledge, all of the information in this Questionnaire is true, accurate and complete. If the injured person is deceased, then the executor of the person's will (or similar estate representative) must complete and sign Part X on behalf of the injured person.

The legal representative of the injured person must complete and sign Part X where indicated.

## PART I: IDENTITY OF INJURED PERSON AND LEGAL COUNSE

<u>а.</u>			(	GHACE P[Q 48987-0007
	GENERAL INFORMATION			
1.	Name of Claimant:	2. Gender:	🔀 Male 🗌 Femal	e
	First MI Last			
3.	Race (for purposes of evaluating Pulmonary Function	on Test results):		White/Caucasian
			. $\square$	African American
-				Other
4.	Last Four Digits of Social Security Number:	REDACTED	5. Bir	th Date.
6.	Mailing Address:			<del></del>
	Address	City	State/Province	Zip/Postal Code
7.	Daytime Telephone Number:			
15	T ASSESSED SC NIAMER AND PURA			
b.	LAWYER'S NAME AND FIRM	· . · · ·		
1.	Name of Lawyer: Edward O. Moody			
2.	Name of Law Firm With Which Lawyer is Affiliated	I:Edward O. Moo	ody, P.A.	•
3.	Mailing Address of Firm: 801 W. 4th Street	Little Rock	AR	72201
	Address	City	State/Province	Zip/Postal Code
4.	Law Firm's Telephone Number or Lawyer's Direct			
	Check this box if you would like the Debtors to ser lieu of sending such materials to you.	nd subsequent material re	elating to your clain	1 to your lawyer, in
	CATICE OF DEATH AF ADDITION FO	•		
c.	CAUSE OF DEATH (IF APPLICABLE)		<del> </del>	<del> </del>
1.	Is the injured person living or deceased?  If deceased, date of death:		047	iving Deceased
	If the injured person is deceased, then attach a copy			•
2.		of the death certificati		man e anu complete
	the following:	i	^	_
	the following: Primary Cause of Death (as stated in the Death C	ertificate): Lun	s Cancer	_
	the following:	ertificate): Lun	^	_
	the following: Primary Cause of Death (as stated in the Death C	ertificate):	g Cancer	_
Ma inst dia and	the following: Primary Cause of Death (as stated in the Death C Contributing Cause of Death (as stated in the Dea	ertificate):  th Certificate):  RELATED CONDITION  we been diagnosed and sed with multiple condition octors, please complete a sests that change or confi	ON(S)  provide all informons and/or if you resperate Part II for lict with the initial	nation required in the eccived diagnoses and reach initial diagnosis
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Mainst diagand con	the following: Primary Cause of Death (as stated in the Death C Contributing Cause of Death (as stated in the Death PART II: ASBESTOS  The the box next to the conditions with which you has tructions to this Questionnaire. If you have been diagnoognostic tests relating to the same condition by multiple death any previous or subsequent diagnoses or diagnostic to even ence, additional copies of Part II are attached as Applease check the box next to the condition being alled Asbestos-Related Lung Cancer	ertificate):  th Certificate):  RELATED CONDITION  we been diagnosed and sed with multiple condition  octors, please complete a cests that change or confidendix C to this Question  ged:  Mesothelioma  Other Cancer (cancer not Clinically Severe Asbesto	DN(S)  provide all informons and/or if you related to lung cancers.	nation required in the eccived diagnoses and reach initial diagnosis diagnosis. For your
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Mainst diagand con	PART II: ASBESTOS  The the box next to the conditions with which you has tructions to this Questionnaire. If you have been diagnostic tests relating to the same condition by multiple diany previous or subsequent diagnoses or diagnostic to twenience, additional copies of Part II are attached as Applease check the box next to the condition being alled Asbestosis  Asbestosis  Other Asbestos Disease  a. Mesothelioma: If alleging Mesothelioma, were following (check all that apply):  diagnosis from a pathologist certified by the A diagnosis from a second pathologist certified by	ertificate):  The Certificate in	DN(S)  provide all inform one and/or if you reseparate Part II for lict with the initial maire.  related to lung canosis malignant mesoth ogy f Pathology	nation required in the eccived diagnoses and reach initial diagnosis diagnosis. For your ecer or mesothelioma)
Mainst diagand con	PART II: ASBESTOS  The the box next to the conditions with which you has tructions to this Questionnaire. If you have been diagnostic tests relating to the same condition by multiple diany previous or subsequent diagnoses or diagnostic to twenience, additional copies of Part II are attached as Appelease check the box next to the condition being alled Asbestosis  Asbestosis  Other Asbestos Disease  a. Mesothelioma: If alleging Mesothelioma, were following (check all that apply):  diagnosis from a pathologist certified by the Assistance of Death Control of the Condition of the Co	ertificate):  -RELATED CONDITION  we been diagnosed and sed with multiple condition octors, please complete a sets that change or confidendix C to this Question ged:  Mesothelioma  Other Cancer (cancer not Clinically Severe Asbestore you diagnosed with merican Board of Pathology the American Board of posure to Grace asbestos-	DN(S)  provide all inform one and/or if you reseparate Part II for lict with the initial maire.  related to lung canosis malignant mesoth ogy f Pathology	nation required in the eccived diagnoses and reach initial diagnosis diagnosis. For your ecer or mesothelioma)

PART II:	ASBESTOS-RELATED	CONDITION(S)	(Continued)

	RACE PIO	
WR G	PACE PLO	011 111 11 11 11

As lu	spestos-Related Lung Cancer: If alleging Asbestos-Related Lung Cancer, were you diagnosed the concert based on the following (check all that apply):
	findings by a pathologist certified by the American Board of Pathology
	evidence of asbestosis based on a chest x-ray reading of at least 1/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a B-reader certified by the National Institute for Occupational Safety and Health
	evidence of asbestosis based on a chest x-ray reading of at least 1/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a second B-reader certified by the National Institute for Occupational Safety and Health
	evidence of asbestosis determined by pathology
	evidence of asbestos-related nonmalignant disease based on a chest x-ray reading of at least 1/0 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a B-reader certified by the National Institute for Occupational Safety and Health
	evidence of asbestos-related nonmalignant disease based on a chest x-ray reading of at least 1/0 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a second B-reader certified by the National Institute for Occupational Safety and Health
	diffuse pleural thickening as defined in the International Labour Organization's Guidelines for the Use of the ILO International Classification of Radiographs and Pneumoconioses (2000)
	a supporting medical diagnosis and supporting documentation establishing that exposure to Grace
$\overline{}$	asbestos-containing products had a substantial causal role in the development of the lung cancer
Ш	other (please specify):
Ot	her Cancer:
(i)	If alleging Other Cancer, please mark the box(es) next to the applicable primary cancer(s) being alleged:
	☐ colon ☐ pharyngeal ☐ esophageal ☐ laryngeal ☐ stomach cancer
	other, please specify:
(ii)	Were you diagnosed with the above-indicated cancer based on the following (check all that apply):
	findings by a pathologist certified by the American Board of Pathology
-	evidence of asbestosis based on a chest x-ray reading of at least 1/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a B-reader certified by the National Institute for Occupational Safety and Health
	evidence of asbestosis based on a chest x-ray reading of at least 1/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a second B-reader certified by the National Institute for Occupational Safety and Health
	evidence of asbestosis determined by pathology
	a supporting medical diagnosis and supporting documentation establishing that exposure to Grace asbestos-containing products had a substantial causal role in the development of the cancer
	other (please specify):

### PART II: ASBESTOS-RELATED CONDITION(S) (Continued)

|--|

••		eck all that apply):
		diagnosis of a pulmonologist or internist certified by the American Board of Internal Medicine
		a chest x-ray reading of at least 2/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a B-reader certified by the National Institute for Occupational Safety and Health
		a chest x-ray reading of at least 2/1 on the ILO grade scale (a) conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses and (b) by a second B-reader certified by the National Institute for Occupational Safety and Health
		asbestosis determined by pathology
		a pulmonary function test, conducted in accordance with the standards set forth in the American Thoracic Society's Lung Function Testing; Selection of Reference Values and Interpretive Strategies, demonstrating total lung capacity less than 65% predicted
		a pulmonary function test, conducted in accordance with the standards set forth in the American Thoracic Society's Lung Function Testing; Selection of Reference Values and Interpretive Strategies, demonstrating forced vital capacity less than 65% predicted and a FEV1/FVC ratio greater than or equal to 65% predicted
		a supporting medical diagnosis and supporting documentation establishing that exposure to Grace asbestos-containing products had a substantial causal role in the development of the asbestosis
		other (please specify):
≥.	Asl	pestosis: If alleging Asbestosis, was your diagnosis based on the following (check all that apply):
<b>2.</b>	Asl	diagnosis of a pulmonologist or internist certified by the American Board of Internal Medicine
·	Asl	
·	Asl	diagnosis of a pulmonologist or internist certified by the American Board of Internal Medicine a chest x-ray reading conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses by a B-reader certified by the National Institute for Occupational Safety and Health, with one of the following: (i) at least 1/0 on the ILO grade scale, or (ii) diffuse pleural thickening as defined in the ILO's Guidelines for the Use of the ILO
2.	Asi	diagnosis of a pulmonologist or internist certified by the American Board of Internal Medicine  a chest x-ray reading conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses by a B-reader certified by the National Institute for Occupational Safety and Health, with one of the following: (i) at least 1/0 on the ILO grade scale, or (ii) diffuse pleural thickening as defined in the ILO's Guidelines for the Use of the ILO International Classification of Radiographs and Pneumoconioses (2000)  a chest x-ray reading conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses by a second B-reader certified by the National Institute for Occupational Safety and Health, with one of the following: (i) at least 1/0 on the ILO grade scale, or (ii) diffuse pleural thickening as defined in the ILO's Guidelines for the Use of the
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### PART II: ASBESTOS-RELATED CONDITION(S) (Continued)

1						
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e above, was your diagnosis based on the following (check all that apply):
diagnosis of a pulmonologist or internist certified by the American Board of Internal Medicine
diagnosis determined by pathology
a chest x-ray reading conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses by a B-reader certified by the National Institute for Occupational Safety and Health, with one of the following: (i) at least 1/0 on the ILO grade scale, or (ii) diffuse pleural thickening as defined in the ILO's Guidelines for the Use of the ILO International Classification of Radiographs and Pneumoconioses (2000)
a chest x-ray reading conducted in compliance with the standards set forth in the International Labour Organization's 2000 International Classification of Radiographs of Pneumoconioses by a second B-reader certified by the National Institute for Occupational Safety and Health, with one of the following: (i) at least 1/0 on the ILO grade scale, or (ii) diffuse pleural thickening as defined in the ILO's Guidelines for the Use of the ILO International Classification of Radiographs and Pneumoconioses (2000)
a chest x-ray reading other than those described above
a pulmonary function test, conducted in accordance with the standards set forth in the American Thoracic Society's Lung Function Testing; Selection of Reference Values and Interpretive Strategies, demonstrating a FEVI/FVC ratio greater than or equal to 65% predicted with either (a) total lung capacity less than 80% predicted or (b) forced vital capacity less than 80% predicted
a pulmonary function test other than that discussed above
a supporting medical diagnosis and supporting documentation establishing that exposure to Grace asbestos-containing products had a substantial causal role in the development of the condition
a CT Scan or similar testing
a diagnosis other than those above
other (please specify):

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		CONDITION(S)	

-			
WR GR	ACE P	Q 4 <b>3</b> 98	114111411 17-8011

Date of Diagnosis	•	,	•••••••
Diagnosing Docto	r's Name: <u>See attached Medical Rep</u> o	ort	
Diagnosing Docto	r's Specialty: <u>See attached Medical R</u>	leport	
Diagnosing Docto	r's Mailing Address: <u>See attached Mo</u> Address	edical Report	
City		. State/Province	Zip/Postal Code
Diagnosing Docto	r's Daytime Telephone Number:	(	)
With respect to yo	our relationship to the diagnosing doc refer to the responses below.		
Was the diagnosing	g doctor your personal physician?:	,	Yes X No
Was the diagnosing	g doctor paid for the diagnostic services	that he/she performed?	X Yes \[ \] No
If yes, please indic	ate who paid for the services performed	: Claimant paid for the service	s through his/her counsel.
	nsel in order to receive any of the services		
ambiguous. The	g doctor referred to you by counsel? <u>Clain of the counsel? Clain of the counter was retained by Claim ant throant's Asbestos Lawsuit. Please refer</u>	ugh Claimant's Counsel to pro	vide expert medical
Are you aware of a	my relationship between the diagnosing	do atom and view longly a sure 19	[ ] x
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Please check th	ne box next to the applicable location where your chest x-ray was take	en (check one):
	boratory Dob site Dunion Hall Doctor office Hospital	•
	chest x-ray taken:	
	Address	
<u> </u>		
	garding Chest X-Ray Reading <u>Subject to the foregoing objections, pl</u> nedical reports attached hereto.	ease refer to the responses
Date of Readin	g:	ILO score:
Name of Reade	er:	
Reader's Dayt	ime Telephone Number:(	) -
Reader's Maili	ing Address:	
	Address	
City	State/Province Zip/Postal Code	
•	your relationship to the reader, check all applicable boxes:	
	paid for the services that he/she performed	X Yes □ No
	dicate who paid for the services performed: Clamant paid for the services	, <del>-</del>
	counsel in order to receive any of the services performed by the reader? Pl	
doctor was ret	referred to you by counsel? Claimant objects to this question as being valued by Claimant through Claimant's Counsel to provide expert me uit. Please refer to the forgoing objections.	dical opinions in Claimant's
being vague an	of any relationship between the reader and your legal counsel? <u>Claimant of ambiguous</u> . The doctor was retained by Claimant through Claima opinions in Claimant's Asbestos Lawsuit. Please refer to the forgoing	nt's Counsel to provide
If yes, please ex	plain:	
Was the reade:	r certified by the National Institute for Occupational Safety and Healt	th at the time of the reading?
***************************************		X Yes 🗌 No
If the reader is which the read	not a certified B-reader, please describe the reader's occupation, specing was made:	cialty, and the method through
nformation Re elow and the n	garding Pulmonary Function Test: <u>Subject to the forgoing objections</u> nedical reports attached <u>hereto.</u>	, please refer to the responsesDate of Test:
List your heigh	nt in feet and inches when test given:	ft inches
List your weigl	ht in pounds when test given:	lbs
Fotal Lung Ca	pacity (TLC):	% of predicted
	apacity (FVC):	
FEV1/FVC Ra	tio: `	% of predicted
Name of Docto	r Performing Test (if applicable): See attached PFT, if applicable	
	alty: See attached PFT, if applicable	
Doctor's Speci:		
	ian Performing Test (if applicable): See attached medical repo	ort.

Testing Doctor or Clinician's Daytime Te			)	WR GRACE PIO
Name of Doctor Interpreting Test: Se	e attached PFT,	if applicable		·
Doctor's Specialty: See attached PF	T, if applicable	·		· · · · · · · · · · · · · · · · · · ·
Interpreting Doctor's Mailing Address:	See attached	PFT, if	applicable.	•
<del></del>	Address			
			•	
City		State/Province	•	Zip/Postal Code
Interpreting Doctor's Daytime Telephone	Number:	(_	)	
With respect to your relationship to the (	doctor or clinician v	vha nerfarmed t	he nulmanary fu	unction test check all
applicable boxes: Subject to the foregoin				
attached hereto.	•			<u>-</u>
If the test was performed by a doctor, was the	<del>-</del>	= -		
Was the testing doctor and/or clinician paid				Yes No
If yes, please indicate who paid for the servi	•			
Did you retain counsel in order to receive any	y of the services perfo	rmed by the testin	ig doctor or clinici	an? Yes No
Was the testing doctor or clinician referred ambiguous. The doctor was retained opinions in Claimant's Asbestos Lawsuit.	by Claimant throu	gh Claimant's	Counsel to prov	vide expert medical
The second section sec	Please refer to fore	egoing objections	•	Yes No
	•			· ·
Are you aware of any relationship between this question as being yague and ambigu	either the doctor or ous. The doctor wa	clinician and you s retained by C	r legal counsel? laimant through	Claimant objects to Claimant's Counsel
Are you aware of any relationship between this question as being vague and ambiguto provide expert medical opinions in Cla	either the doctor or ous. The doctor wa	clinician and you s retained by C	r legal counsel? laimant through	Claimant objects to Claimant's Counsel
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Information Regarding Pathology Reports: <u>I</u> documentation.	Please refer to Part II, Question 2, and attached medical WR GRACE PRO
•	
Doctor's Specialty	
Doctor's Mailing Address.	
Address	
City	State/Province Zip/Postal Code
•	()
	or issuing the pathology report, check all applicable boxes:
	Yes No
	performed?
· · · · · · · · · · · · · · · · · · ·	performed:
Did you retain counsel in order to receive any of	f the services performed by the doctor? Yes No
doctor was retained by Claimant through Cla Asbestos Lawsuit. Please refer to foregoing of Are you aware of any relationship between the d	imant objects to this question as being vague and ambiguous. The imant's Counsel to provide expert medical opinions in Claimant's bjections. Yes No octor and your legal counsel? Claimant objects to this question as
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# PART III: DIRECT EXPOSURE TO GRACE ASBESTOS-CONTAINING PRODUCTS

Please complete the chart below for each site at which you allege exposure to Grace asbestos-containing products. If you allege exposure at multiple sites, the Court has ordered that you must complete a separate chart for each site. For your convenience, additional copies of Part III are attached as Appendix D to this Questionnaire. If exposure was in connection with your employment, use the list of occupation and industry codes in the Instructions to Part III to indicate your occupation and the industry in which you worked.

In the "Nature of Exposure" column, for each job listed, please indicate the letter(s) corresponding to whether you were any of the following during your exposure:

- (a) A worker who personally mixed Grace asbestos-containing products
- (b) A worker who personally removed or cut Grace asbestos-containing products
- (c) A worker who personally installed Grace asbestos-containing products
- (d) A worker at a site where Grace asbestos-containing products were being installed, mixed, removed or cut by others
- (e) A worker in a space where Grace asbestos-containing products were being installed, mixed, removed or cut by others
- (f) If other, please specify.

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Site of Exposure: Subject to the forgoing objections, please refer to the attached PEH, interrogatory responses, Statement of Earnings and/or depositions, if applicable and if available.				Industry Was exposure due to working in or Code around areas where product was being if Code installed, mixed, removed, or cut?  If Code installed, mixed, removed, or cut?  If Les please indicate, your regular specify.  Epopumity to such areas:							
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ubject to the forgoing ob		Site Type: Residence Business	g Exposure:	Product(s).						,	-
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### PART IV: INDIRECT EXPOSURE TO GRACE ASBESTOS-CONTAINING PRODUC

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1.	Are you asserting an injury caused by exposure to Grace asbestos-containing products through contact/proximity
	with another injured person? Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available
	If yes, complete questions 2 through 10 of this section for each injured person through which you allege exposure to Grace asbestos-containing products. For your convenience, additional copies of Part IV are attached as Appendix E to this Questionnaire.
2.	Please indicate the following information regarding the other injured person: Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available.
	Name of Other Injured Person:Gender: Gender: Male Female
	Last Four Digits of Social Security Number: Birth Date://
3.	What is your Relationship to Other Injured Person: Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available
4.	Nature of Other Injured Person's Exposure to Grace Asbestos-Containing Products:
5.	Dates Other Injured Person was Exposed to Grace Asbestos-Containing Products: Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available.
	From:// To://
6.	Other Injured Person's Basis for Identification of Asbestos-Containing Product as Grace Product:
7.	Has the Other Injured Person filed a lawsuit related to his/her exposure? Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available.  Yes No
	If yes, please provide caption, case number, file date, and court name for the lawsuit:
	Caption:
	• • • • • • • • • • • • • • • • • • • •
	Case Number: File Date;/
	Court Name:
8.	Nature of Your Own Exposure to Grace Asbestos-Containing Product: <u>Subject to the foregoing objections please</u> refer to attached PEH, interrogatory responses and depositions, if applicable and if available.
	Dates of Your Own Exposure to Grace Asbestos-Containing Product: Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available.
	From:/To:/
	Your Basis for Identification of Asbestos-Containing Product as Grace Product: <u>Subject to the foregoing objections please refer to attached PEH, interrogatory responses and depositions, if applicable and if available.</u>

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# PART V: EXPOSURE TO NON-GRACE ASBESTOS-CONTAINING PRODUCTS

Please complete the chart below for each party against which you have filed a lawsuit and/or claim alleging exposure to asbestos-containing products other than Grace products. If you filed such lawsuits and/or claims against multiple parties, the Court has ordered that you must complete a separate chart for each party. For your convenience, additional copies of Part V are attached as Appendix F to this Questionnaire.

If exposure was in connection with your employment, use the list of occupation and industry codes in the Instructions to Part III to indicate your occupation and the industry in which you worked. In the "Nature of Exposure" column, for each product listed, please indicate the letter(s) corresponding to whether you were any of the following during your exposure:

- (a) A worker who personally mixed Non-Grace asbestos-containing products
  - (b) A worker who personally removed or cut Non-Grace asbestos-containing
- (c) A worker who personally installed Non-Grace asbestos-containing products
- (d) A worker at a site where Non-Grace asbestos-containing products were being installed, mixed, removed or cut by others
  - (e) A worker in a space where Non-Grace asbestos-containing products were being installed, mixed, removed or cut by others
    - (f) If other, please specify.

Ξ

### PART VI: EMPLOYMENT HISTORY

Other than jobs listed in Part III or V, please complete this Part VI for all of your prior industrial work experience up to and



including your current employment. For each job, include your employer, location of employment, and dates of employment. Only include jobs at which you worked for at least one month. Please use the copy of Part VI attached as Appendix G to this Questionnaire if additional space is needed. Subject to the foregoing objections, please refer to the attached Itemized Statement of Earnings, PEH and/or depositions, if applicable and if available. Occupation Code: \_\_\_\_ If Code 59, specify: .\_\_\_\_ If Code 118, specify: Industry Code: Employer: Location: Address City State/Province Zip/Postal Code Occupation Code: \_\_\_\_ If Code 59, specify:.\_\_\_\_ Industry Code: If Code 118, specify: Employer: \_\_\_\_ Location: City State/Province Zip/Postal Code Occupation Code: If Code 59, specify:. \_\_\_\_\_ If Code 118, specify:\_\_\_\_\_ Industry Code: Location: Address City State/Province Zip/Postal Code Occupation Code: \_\_\_\_\_ If Code 59, specify: .\_\_\_\_ \_\_\_\_\_ If Code 118, specify:\_\_\_\_ **Industry Code:** Employer: Beginning of Employment: \_\_\_ / \_\_ \_ \_ End of Employment: \_\_\_ / \_\_ \_ / \_\_\_ Location: City State/Province Zip/Postal Code

### PART VII: LITIGATION AND CLAIMS REGARDING ASBESTOS AND/OR SILICA



a.	responses are limited to the asbestos-related lawsuit where Debtor was sued.
1.	Have you ever been a plaintiff in a lawsuit regarding asbestos or silica?X Yes No
	If yes, please complete the rest of this Part VII(a) for each lawsuit. For your convenience, additional copies of Part VII are attached as Appendix G to this Questionnaire
2.	Please provide the caption, case number, file date, and court name for the lawsuit you filed:
	Caption:
	Case Number: REDACTED File Date:
	Court Name:
3.	Was Grace a defendant in the lawsuit?
4.	Was the lawsuit dismissed against any defendant? Subject to the foregoing objections, see attached List of Parties, if applicable.
	If yes, please provide the basis for dismissal of the lawsuit against each defendant:
	Please refer to the forgoing objections.
5.	Has a judgment or verdict been entered? Subject to the foregoing objections, see attached List of Parties, if applicable.
	If yes, please indicate verdict amount for each defendant(s):
6.	Was a settlement agreement reached in this lawsuit? Subject to the foregoing objections, see attached List of Parties, if applicable.
	If yes and the settlement was reached on or after April 2, 2001, please indicate the following:
	a. Settlement amount for each defendant: Please refer to the forgoing objections.
	b. Applicable defendants: Subject to the forgoing objections, see attached List of Parties, if applicable.
	c. Disease or condition alleged: Subject to the foregoing objections, see attached List of Parties, if applicable.
<u>o</u>	d. Disease or condition settled (if different than disease or condition alleged): Subject to the foregoing bjections, see attached List of Parties, if applicable
7.	Were you deposed in this lawsuit?
	If yes and Grace was not a party in the lawsuit, please attach a copy of your deposition to this Questionnaire.
ъ.	CLAIMS Subject to the foregoing objections, please refer to Claimant's responses below. Claimant's responses are limited to asbestos-related personal injury claims.
1.	Have you ever asserted a claim regarding asbestos and/or silica, including but not limited to a claim against an asbestos trust (other than a formal lawsuit in court)?X Yes No
	If yes, please complete the rest of this Part VII(b). If no, please skip to Part VIII.
2.	Date the claim was submitted: Subject to the foregoing objections, see attached List of Parties, if applicable.
3.	Person or entity against whom the claim was submitted: Subject to the foregoing objections, see attached List of rties, if applicable.
4.	Description of claim: Subject to the foregoing objections, see attached List of Parties, if applicable.
5.	Was claim settled? Subject to the foregoing objections, see attached List of Parties if applicable.

List of Parties, if applicable.  If yes, provide the basis for dismissal of the cla	im:	•	
PART VIII: CLAIMS B	Y DEPENDENTS OR RI	ELATED PERSONS	
Subject to the foregoing objections, please see bel interrogatory responses of other dependents if ap	ow for information regar	ding spouse, if applicab	le and to attached
Name of Dependent or Related Person:		Gender	Male Female
Last Four Digits of Social Security Number:	•	Birth Date: /.	/
mancially Dependent:			[] xy [] xy
Relationship to Injured Party: X Spouse Child	Other . If other, pleas	e specify	
Mailing Address: Address	-		<del></del>
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Medical records and/or report containing a diagrange Lung function test results Lung function test interpretations Pathology reports Supporting documentation of exposure to Grace asbestos-containing products	X-ray to CT sca CT sca CT sca Deposition of this	reports/interpretations ins in reports/interpretations itions from lawsuits indica Questionnaire	ated in Part VII
Lung function test results Lung function test interpretations Pathology reports Supporting documentation of exposure to Grace asbestos-containing products Supporting documentation of other asbestos expe	X-ray to CT sca CT sca CT sca Deposition of this	reports/interpretations ins in reports/interpretations itions from lawsuits indic	ated in Part VII
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### Case 01-01139-AMC Doc 13626-8 Filed 11/10/06 Page 22 of 46

The information provided in this Questionnaire must be accurate and truthful. This Questionnaire is an original document that may be used as evidence in any legal proceeding regarding your Claim. The penalty for two grace Pio 43887-0021 fraudulent Questionnaire is a fine of up to \$500,000 or imprisonment for up to five years, or both. 18 U.S.C. §§ 102 00 000 TO BE COMPLETED BY THE INJURED PERSON.

I swear, under penalty of perjury, that, to the best of my knowledge, all of the foregoing information contained in this Questionnaire is true, accurate and complete.

Signature:

REDACTED

Date: 0 / 20 0 60

TO BE COMPLETED BY THE LEGAL REPRESENTATIVE OF THE INJURED PERSON.

I swear that, to the best of my knowledge, all of the information contained in this Questionnaire is true, accurate and complete.

Signature:

Date: 01/12006

Date: 01/11/2006

PART V: EXPOSURE TO NON-GRACE ASBESTOS CONTAINING PRODUCTS

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REDACTED _	OWENS-ILLINOIS	SETTLED Non-Mail	gnant Non-Malgnant
	COMBUSTION ENGINEERING	SETTLED Non-Mal	gnant Non-Malgnant
	KEENE	SETTLED Non-Mal	gnant Non-Malgnant
	FIBREBOARD	SETTLED Non-Mal	gnant Non-Malgnant
	GARLOCK	SETTLED Non-Mai	gnant Non-Malgnant
	BONDEX	SETTLED Non-Mai	gnant Non-Malgnant
-	FLINTKOTE	SETTLED Non-Mal	gnant Non-Malgnant
	BABCOCK & WILCOX	SETTLED Non-Mal	gnant Non-Malgnant
	OWENS-CORNING	SETTLED Non-Mal	gnant Non-Malgnant
·	GEORGIA-PACIFIC	SETTLED Non-Mai	gnant Non-Maignant
	ALLIED MFG.	SETTLED Non-Mai	gnant Non-Malgnant
	Armstrong World Industries, Inc.	SETTLED Non-Mal	gnant Non-Malgnant
	Carey Canadian, Inc.	Unsettled Non-Mal	gnant Non-Malgnant
	Eagle-Picher Industries, Inc.	Unsettled Non-Mal	gnant Non-Malgnant
	Flexitallic Gasket Co., Inc.	SETTLED Non-Mal	gnant Non-Malgnant
,	GAF Corp.	SETTLED Non-Mal	gnant Non-Malgnant
	National Gypsum Co.	SETTLED Non-Mal	gnant Non-Malgnant
	The Celotex Corp.	Unsettled Non-Mal	gnant Non-Malgnant
·	Turner & Newall, PLC.	SETTLED Non-Mal	gnant Non-Maignant
	W.R. Grace & Co.	Unsettled Non-Mai	gnant Non-Malgnant
·	U.S. Gypsum	SETTLED Non-Mal	gnant Non-Malgnant
	PITTSBURGH-CORNING	SETTLED Non-Mal	gnant Non-Malgnant

Case 01-01139-AMC Doc 13626-8 Filed 11/10/06 Page 24 of 46

# PART VII: LITIGATION CLAIMS REGARDING ASBESTOS AND/OR SILICA b. CLAIMS



CLIENT	DEFENDANT	ACTIVITY
	CELOTEX	Unsettled
REDACTED	Eagle-Picher Industries, Inc.	Unsettled



Division of Pulmonology

Richard S. Kronenberg, MD Diplomate, American Board of Internal Medicine and Pulmonary Disease

September 5, 2005

Ms. Sandra Bell Edward O. Moody, P.A. Attorneys at Law PO Box 8709 Little Rock, Arkansas 72217

RE:

SSN:

REDACTED

Dear Ms. Bell:

As requested in your letter to me of August 29, 2005, I reviewed the records you supplied regarding your client The records consisted of the following:

- 1. Your letter to me dated August 29, 2005.
- A client summary sheet.
   A letter from Dr. Ronald E. Gordon to Dr. Steven Dikman dated April 19, 1991.
- Records of the Baptist Medical Center of Little Rock Arkansas covering the time span from January 1989 through March 1989. Unfortunately, the copy quality of these records is very poor.

The records indicate that d had a significant occupational exposure to asbestos. Specifically, he worked as a carpenter, sheetrock worker, painter, sandblaster and aircraft mechanic from 1962 to 1982. This exposure is of sufficient magnitude and latency to account for any of the asbestos related diseases. His cigarette smoking history cannot be determined from developed significant x-ray the records I reviewed. In approximately January 1989 abnormalities including a possible mass in his right upper lobe. He also developed a pleural effusion. A lung biopsy was performed and showed considerable fibrosis. Pleural fluid was obtained and contained malignant cells consistent with non-small cell lung cancer. Unfortunately, as I indicated, many of the records from the Baptist Medical Center are unreadable because of the poor copy quality. In April 1991, some of the lung tissue obtained from analyzed for asbestos fiber burden by Dr. Ronald Gordon. Numerous asbestos ribers were found.

Based on my review of the records you supplied, I conclude that . asbestosis and an asbestos related lung cancer.

suffers from

Thank you for the opportunity to review this material.

Richard S. Kronenberg, M.D.

RSK:jr

520 E. Douglas Blvd. Tyler, Texas 75702 Fax 903-510-8784

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WR GRACE PIQ 43987-0026

STATE OF ARKANSAS ) ) ss.
COUNTY OF PULASKI )

### **AFFIDAVIT**

Comes now Jennifer Peachey, Bankruptcy Department Manager for Edward O. Moody, P.A., after being duly sworn states on oath:

- The law office of Edward O. Moody, P.A. has represented over the years numerous
  of claimants with claims against W.R. Grace.
- All the clients represented by Edward O. Moody, P.A. are sick with some form of
  malignant or non-malignant disease that can be directly linked to the claimant's
  work history and exposure to asbestos containing products.
- The vast majority of these clients are elderly and of limited educational background.
   They are also very limited in their understanding of medical issues.
- 4. Their clients are therefore unable to adequately answer the W.R. Grace Personal Injury Questionnaire with competence. They do not have the knowledge, experience, or information to summarize and compile the information requested in the Defendant's Questionnaire. This requires the information to be compiled almost entirely by the law firm through personal visits, telephone calls, file review, coworker information, and employer records..
- 5. Estate representatives and spouses who are overseeing the deceased claimant's matters usually do not have adequate knowledge of the working conditions of the claimant. They do not have the knowledge, experience, or information to summarize and compile the information requested in the Defendant's Questionnaire.
- 6. The law office of Edward O. Moody, P.A. objects to the majority of questions in this questionnaire because the questions violate attorney-client privileges, seek



information that is not discoverable under the Federal Rules of Civil or Bankruptcy Procedure or the applicable rules of state Civil Procedure. In addition the questionnaire is not a "pleading" as defined in state or federal Rules of Civil Procedure.

- 7. The law office of Edward O. Moody, P.A. objects to the scope and content of the W.R. Grace Personal Injury Questionnaire as it is oppressive, cumulative, duplicative, burdensome, and not likely to lead to discoverable evidence.
- 8. Experience has shown that it takes one staff person approximately eight (8) to ten (10) hours to complete each claim form including the research, copying, and completion of the claim form and exhibits. Most claim forms from other bankrupt companies take only an hour including the research, copying, and completion of the claim form.
- 9. The law office of Edward O. Moody, P.A. objects to spending the required amount of time, labor, and money needed in order to properly respond to the W.R. Grace Personal Injury Questionnaire without the knowledge of the potential value of the claim.
- 10. The law office of Edward O. Moody, P.A. further objects to the W.R. Grace Personal Injury Questionnaire to the extent that it seeks disclosure of any privileged communication between the Claimant, and/or a representative of the claimant, and any attorney for the Claimant, and/or a representative for any attorney for the Claimant.
- 11. The law office of Edward O. Moody, P.A. objects to the request for information and documents that is readily available to the Defendant, was previously given to the Defendant, or obtainable with equal or greater facility by the Defendant.
- 12. The law firm of Edward O. Moody, P.A. objects to the Defendant's Interrogatories as they are not limited to the Claimant's asbestos-related personal injury lawsuit.
- 13. The law firm of Edward O. Moody, P.A. objects to all questions concerning



settlements with other defendants named in the law suit. Settlements with other Defendants are irrelevant to the Debtor's share of the liability. Furthermore, settlements with other Defendants are generally subject to a confidentiality agreement.

Jennifor Peachey

Bankruptcy Department Manager

### **ACKNOWLEDGEMENT**

STATE	OF	ARK	ZAZKA
DIALE	OI.	ソババン	CLYUCAU.

) ss.

COUNTY OF PULASKI

Subscribed and sworn to me, April Corle

this

Norary Public

My Commission Expires:

SEAI

OFFICIAL SEAL
APRIL CORLEY
NOTARY PUBLIC - ARKANSAS
SALINE COUNTY
My Commission Expires JULY 7, 2014



# IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

In re:	}	Chapter 11	
	} .	•	
W.R. GRACE & CO., et al	}	Case No. 01-1139 (JK)	F)
	}	(Jointly Administered)	)
Debtors	}		

# CLAIMANT'S OBJECTIONS AND RESPONSES TO THE W.R. GRACE ASBESTOS PERSONAL INJURY QUESTIONNAIRE

Claimant , by and through his/her counsel, Edward O. Moody, P.A. submits the following objections and responses to the "W.R. Grace Asbestos Personal Injury Questionnaire" ("Questionnaire"). In order to maintain the integrity of the formatting on Debtor's Questionnaire, Claimant has set out all of his/her general and specific objections in the following separate sections of this response and hereby incorporates each of these objections into Claimant's Questionnaire responses by reference herein.

# CLAIMANT'S GENERAL OBJECTIONS TO THE W.R. GRACE ASBESTOS PERSONAL INJURY QUESTIONNAIRE

Claimant hereby files these objections in response to the Questionnaire propounded by W.R. Grace.

- 1. Claimant objects to the Questionnaire and its Instructions to the extent that they impose discovery obligations beyond those of the Federal Rules of Bankruptcy Procedure and/or the rules of civil procedure in the state where Claimant filed an asbestos personal injury lawsuit. Claimant will respond to the discovery Questionnaire in accordance with those rules. Claimant objects to the Instructions as they make document requests that are overly broad, harassing, and not reasonably calculated to lead to the discovery of admissible evidence. Claimant contends that the burden and expense of responding to Debtor's Questionnaire in the format requested by Debtor outweighs its likely benefit.
- Claimant objects to the Questionnaire and its Instructions to the extent that they seek information or identification of documents that are attorney work product, subject to the attorney-client or consulting expert privileges, or are otherwise not discoverable under the Federal Rules of Bankruptcy Procedure or the rules of civil procedure in the state where Claimant filed an asbestos personal injury lawsuit. Claimant further objects to the Questionnaire and its Instructions to the extent it seeks disclosure of facts known or opinions held by any expert who has been retained or specially employed in anticipation of litigation or preparation for trial and who is not expected to be called as witness at trial. Inadvertent disclosure of any such information or documents shall not constitute waiver of any privilege.
- 3. By providing responses to the Questionnaire, the claimant does not concede that the information provided is discoverable, relevant, or admissible. All responses are provided subject to the objections set forth herein. Each claimant reserves the right to challenge further discovery into subject matter of the Questionnaire.



- 4. Claimant objects to any request in the Questionnaire that seeks any information that is not in the Claimant's control, custody, or possession; is already in the control, custody, or possession of the Debtor; or is obtainable with equal or greater facility by the Debtor. The answers to the majority of the questions in the Debtor's Questionnaire may be derived or ascertained form interrogatory responses and was a party prior to filing for bankruptcy ("Claimant's Asbestos Lawsuit"). Because the burden of deriving or ascertaining the answer is substantially the same for Debtor as for Claimant, Claimant has specified in Claimant's Questionnaire response the document from which the answer may be derived as permitted by Rule 33(d) of the Federal Rules of Civil Procedure as incorporated by Rule 7033 of the Federal Rules of Bankruptcy Procedure.
- 5. In response to this Questionnaire, Claimant objects to the extent that the questions are vague, ambiguous and premature. Moreover, Claimant objects to this Questionnaire to the extent that the scope and content of the information sought is unreasonably cumulative and duplicative.
- 6. Claimant objects to this Questionnaire to the extent it requires Claimant to compile documents or information from sources not presently available to Claimants, including documents created or compiled by third parties. Claimant objects to Questionnaire to the extent it purports to require Claimant to gather and summarize information contained in voluminous papers that are already matters of public record.
- 7. Claimant objects to this Questionnaire to the extent that the questions and their discreet sub-parts exceed twenty-five (25) in number.
- 8. By submitting this response to the Questionnaire, Claimant does not intend to, and hereby does not, submit to the jurisdiction of the United States District Court for the District of Delaware, to the United States Bankruptcy Court for the District of Delaware, or to any other court. Claimant reserves (i) all objections to jurisdiction and/or venue, (ii) all protections afforded under Federal Rule of Civil Procedure 45 and Federal Bankruptcy Rule of Procedure 9016, and (iii) the right to jury trial afforded under 28 U.S.C. § 157 (b)(5).



# CLAIMANT'S SPECIFIC OBJECTIONS TO THE W.R.GRACE ASBESTOS PERSONAL INJRUY QUESTIONNAIRE

### INSTRUCTIONS

- 1. Claimant objects to Instructions A.1 to the extents that it purports to include claims under contract, warranty, guarantee, contribution, joint and several liability, subrogation, reimbursements or indemnity on the ground that it is overly broad and requests information that is not relevant to the subject matter of the estimation proceeding.
- 2. Claimant objects to Instruction C in its entirety and Instruction J regarding medical supporting documentation on the ground that it is vague, ambiguous and exceeds the scope of discovery in that it seeks information and documents from experts that is not discoverable and is subject to the work requiring claimant to "complete a separate Part II for each initial diagnosis and any previous or subsequent diagnosis or diagnostic tests that change or conflict with the initial diagnosis", on the ground that the burden outweighs its likely benefit. Claimant further objects to the instruction to attach all x-ray and readings and reports and all pulmonary function tests ("PFT") results on the ground that it is overly broad and ambiguous. Claimant further objects to the Discovery Questionnaire to the extent that it seeks disclosure of facts known or opinions held by any expert who has been retained or specially employed in anticipation of litigation or preparation for trial and who is not expected to be called as a witness in trial. Without limiting the foregoing, Claimant objects to the following provisions of the Discovery Questionnaire as violative of Fed. R. Civ. P. 26(b)(4)(B):
  - (a). Claimant objects to Section C of the Instructions to the extent that it requests the completion of Part II of the Discovery Questionnaire "if you received diagnoses and diagnostic tests relating to the same condition by multiple doctors."
  - (b). Claimant objects to Section C of the Instructions to the extents that it requests the production of "any and all documents" that "support or conflict with your diagnosis."
  - I. Claimant objects to Section C of the Instructions to the extent that it requests the production of "all x-ray readings and reports."
  - (d). Claimant objects to Section C of the Instructions to the extent that it requests the production of "all pulmonary function test results, including the raw data and all spirometric tracings, on which the results were based."
  - (e). Claimant objects to Section J of the Instructions to the extent that it requests the production of "any and all documents" that "support or conflict with your diagnosis."
  - (f). Claimant objects to Part II of the Discovery Questionnaire to the extent that it requests disclosure of "diagnoses and diagnostic tests" by "multiple doctors" concerning "previous or subsequent diagnoses or diagnostic tests that change or conflict with the original diagnoses." Claimant urges the objection with regard to all "conditions" for which disclosure is requested.



Subject to the forgoing, Claimant will attach any x-rays, B-reads, pulmonary function tests or pathology reports considered by Claimant's medical expert in forming his/her opinion in connection with Claimant's Asbestos Lawsuit.

3. Claimant objects to Instruction D to the extent that it requests Claimant to "attach copies of any and all documents establishing that exposure to Grace asbestos-containing products had a substantial causal role in the development of the disease" on the ground that is ambiguous and exceeds the scope of discovery in that it requests documents that are subject to the work product privilege. Further, Claimant has been barred by the Bankruptcy Code Section 362 stay from pursuing discovery against Debtor.

### PART I: IDENTITY OF INJURED PERSON AND LEGAL COUNSEL

Subsection (a)(7) – Daytime Telephone Number of client

Claimant objects to this inquiry on the ground that it is neither relevant nor likely to lead to the discovery of admissible evidence. Claimant is represented by counsel, as reflected herein, and may only be contacted through counsel.

### PART II: ASBESTOS-RELATED CONDITION(S)

- 1. General Objections. Claimant objects to Part II of the Questionnaire in its entirety on the ground that it exceeds the scope of discovery in that it seeks information not relevant to the estimation proceeding, information that is privileged, and information from experts that is nondiscoverable and is subject to the consulting expert and work product privileges. Additionally, the information sought may be derived from the Claimant's discoverable medical records, which are attached to the Questionnaire. Claimant further objects on the ground that the information requested by this question and its sub-parts is equally available to the Debtor in that it may be derived from the Claimant's discoverable medical reports attached to Questionnaire. Claimant further objects to the Discovery Questionnaire to the extent that it seeks disclosure of any privileged communication between Claimant, and/or a representative of Claimant, and any attorney for the Claimant, and/or a representative for any attorney for Claimant. In addition, pursuant to Federal Rule of Civil Procedure 26(b)(3), Claimant objects to the Discovery Questionnaire to the extent that it seeks disclosure of the work product of any attorney for Claimant, including but not limited to the mental impressions, conclusions, opinions or legal theories of any attorney or other representative of Claimant.
- 2. Subsection 1 (a)-(f)-Condition Being Alleged. Claimant objects to this question and each of its subparts (a-f) on the ground that it exceeds the scope of discovery in that it seeks information not relevant to the estimation proceedings, and information from experts that is non-discoverable and is subject to the consulting expert and work product privileges. Claimant further objects on the ground that the information requested by this question and its sub-parts is equally available to the Debtor in that it may be derived from the claimant's discoverable medical reports attached to the Questionnaire. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.



### 3. <u>Subsection 2 – Information Regarding Diagnosis.</u>

- Claimant objects to Part II, Subsection 2 on the ground that it exceeds the scope of discovery in that it seeks information that is not relevant to the subject matter of the estimation proceeding, seeks information from experts that is non-discoverable, and seeks information that is subject to the consulting expert, work product and attorney-client privileges. Claimant further objects to this question as it is not reasonable and necessary to this proceeding and is harassing. Claimant further objects to this question to the extent that it purports to require Claimant to gather and summarize information already contained in documents provided with Claimant's Questionnaire answers, and is, therefore, obtainable with equal or greater facility by the Debtors. Claimant's diagnosis of an asbestos-related condition may be based on one or more diagnostic test(s), pathology report(s) or physical examination(s). Therefore, asking Claimant to state a "date of diagnosis" is vague and ambiguous. Subject to and without waiving the forgoing, in response to Part II, Subsection 2, Claimant has provided the date of the medical report prepared by the medical doctor designated by Claimant in Claimant's Asbestos Lawsuit to provide expert medical opinions regarding Claimant's asbestos-related disease as needed to establish the elements of Claimant's claim under state law and has answered the questions regarding such doctor, if such information was readily available. Claimant has attaché to this Questionnaire a copy of such expert's report along with any available x-ray readings, pulmonary function test reports ("PFT") and/or pathology reports considered by Claimant's medical expert in forming his/her opinion in connection with Claimant's Asbestos Lawsuit. In addition to specifying and attaching a copy of the records from which the answer to Part II, subsection 2 may be derived or ascertained and without waiving Claimant's right to do so pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, Claimant has set out in the Questionnaire response the information that is contained in the medical reports and that was readily available to Claimant's attorney in electronic format.
- b. Claimant further objects to the following questions in Part II, Subsection 2 regarding Claimant's relationship to the diagnosing doctor on the ground that these questions are violative of the attorney-client communication and/or attorney work product privileges, leading, harassing and seek information that is not relative to the proceeding. Claimant has additional, specific objections for the questions noted below.

With respect to your relationship to the diagnosing doctor, check all applicable boxes:  Vas the diagnosing doctor your personal physician?  Yes No
Did you retain counsel in order to receive any of the services performed by the diagnosing doctor Yes No Further, Claimants specifically objects to this question on the ground that it is volative of the attorney-client communication ind/or attorney work products privileges is misleading and biased and cannot be fairly or completely answered with "yes" or no".  Was the diagnosing doctor referred to you by counsel? Yes No Further, Claimant objects to this question on the ground that it is volative of the attorney-client communication and/or attorney work product privilege.  The pour aware of any relationship between the diagnosing doctor and your legal counsel? Yes No Further, Claimant objects to this question on the grounds that it is volative of the attorney-client communication and/or attorney work product privileges.  The pour attorney work product privileges.



Subject to and without waiving the forgoing, please see Claimant's Questionnaire responses and documents attached hereto.

### 4. Subsection 3 - Information Regarding Chest X-Ray (Location of X-Ray)

Claimant objects to Part II, Subsection 3 on the ground that it is harassing, and exceeds the scope of discovery in that it seeks information that is not relevant to the subject matter of the estimation proceeding, seeks information from experts that is non-discoverable, and seeks information that is subject to the consulting expert and work product privileges. Claimant further objects to this question on the ground that it is vague and ambiguous in that Claimant may have had multiple chest x-rays taken that do not change or conflict with Claimant's initial diagnosis of an asbestos-related condition and it would be duplicative, unduly burdensome and harassing to required Claimant to complete a separate Part II for each chest x-ray.

Subject to and without waiving the foregoing, please refer to Claimant's deposition, if available, and any medical records attached hereto.

### 5. Subsection 4 – Information Regarding Chest X-Ray Reading (Results of X-Ray)

- a. Claimant objects to Part II, Subsection 4 on the ground that it exceeds the scope of discovery in that it seeks information that is not relevant to the subject matter of the estimation proceeding, information from experts is non-discoverable, and information that is subject to the consulting expert, work product and attorney-client privileges. Claimant further objects to this question as it is not reasonable and necessary to this proceeding and is harassing. Additionally, Claimant objects to this question on the ground that it is duplicative of other questions herein, and thus is unduly burdensome. Claimant objects to this question on the ground that it is vague and ambiguous in that Claimant may have multiple chest x-rays taken that do not change or conflict with Claimant's initial diagnosis of an asbestos-related condition and it would be duplicative, unduly burdensome and harassing to require Claimant to complete a separate Part II for each chest x-ray. Subject to and without waiving the foregoing, in response to Part II, subsection 4, Claimant has provided information regarding Claimant's certified B-reader report considered by Claimant's medical expert in forming his/her opinion in connection with Claimant's Asbestos Lawsuit. Claimant has set out in the Questionnaire response the information relating to Claimant's certified B-reader report that was readily available to Claimant's attorney in electronic form.
- b. Claimant objects to Part II, subsection 4 of the Discovery Questionnaire as violative of the attorney-client communication and/or attorney work product privileges to the extent that it asks: "if Claimant retained counsel in order to receive any of the services performed by the diagnosing doctor," if "the diagnosing doctor was referred to you by counsel"; and if Claimant is "aware of any relationship between the diagnosing doctor and your legal counsel". Claimant further objects to the following questions in Part II, Subsection 4 regarding Claimant's relationship to the reader on the ground that these questions are leading, harassing and seek information that is not relevant to the proceeding. Claimant has additional, specific objects for the questions noted below.



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	With respect to your relationship to the reader, check all applicable boxes:  Was the reader paid for the diagnostic services that he/she performed?  If yes, please indicate who paid for the services performed:			
	Did you retain counsel in order to receive any of the services performed by the reader? Yes No Further, Claimant specifically objects to this question on the ground that it is volative of the attorney-client communications and/or attorney work privileges, is misleading and biased and cannot be fairly or completely answered with a "yes" or "no."			
	Was the reader referred to you by counsel? Yes No Further, Claimant objects to this question on the ground that it is volative of the attorney-client communications	•		
Ţ	and/or attorney work privileges.			
	Further, Claimant objects to this question on the ground that it is volative of the attorney-client communications and/or attorney work privileges.		•	
	if yes, please explain:			_
docu	Subject to and without waiving the forgoing, please see Claimant's Questionnair ments attached here to.	e respons	es an	d
5.	Subsection 5 – Information Regarding Pulmonary Function Tests			
	a. Claimant objects to Part II, Subsection 5 on the ground that it exceeds the sec	pe of dis	cover	v
	in that it seeks information that is not relevant to the subject matter of	the esti	matio	n
	proceeding, that is non-discoverable, and that is subject to the consulting	g expert	, wor	k
	product and attorney-client privileges. Claimant further objects to this ques reasonable and necessary to this proceeding and is harassing. Additionally, C	uon as 11 Taimant	is no object	t
	to this question to the extent that is purports to required Claimant to gather	and sum	mariz	e
	information contained in documents already provided herewith, and is thus	obtainab	e wit	h
	equal or greater facility by the Debtor. Subject to and without waiving the	e forego	ing, i	n
	response to Part II, Subsection 5, Claimant has provided information regard pulmonary function tests ("PFT") considered by Claimant's medical exp	nng Clar pert in fo	mant'. rmin	S or
	his/her opinion in connection with the Claimant's Asbestos Lawsuit. Claima	nt has set	out i	n
	the Questionnaire response the information relating to Claimatn's PFT report available to Claimant's counsel in electronic form.	that was i	readil	y
	<ul><li>b. Claimant objects to Part II, subsection 5 of the Discovery Questionnaire as</li></ul>	violetive	of th	_
	attorney-client communication and/or attorney work product privilege to the	e extent	that i	ŧ
	asks: "if Claimant retained counsel in order to receive any of the services p	erfomed	by the	е
	diagnosing doctor," if "the diagnosing doctor was referred to you by concluding the Claimant is "aware of any relationship between the diagnosing doctor."	ounsel";	and i	f 1
	counsel". Claimant further objects to the following questions in Part II	Subsec	tion 5	5
	regarding Claimant's relationship to the doctor or clinician who performed	the puln	onary	7
	function test on the ground that these questions are leading, harassing, and so that is not relevant to the proceedings. Claimant has additional, specific ob	ek infon	natior	1
	questions noted below.	jecuons i	or the	3
				_
	With respect to your relationship to the doctor or clinician who performed the pulmonary function test,			
	check all the applicable boxes: If the test was performed by a doctor, was the doctor your personal physician?  Yes  No			
	Further, Claimant specifically objects to this question on the ground that it is vague and ambiguous.  Was the testing doctor and/or clinician paid for the diagnostic services that he/she performed?  If yes, please indicate who paid for the services performed:	•		
	Did you retain counsel in order to receive any of the services performed by the testing doctor or clinician?	□ <sub>No</sub>		
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Further, Claimant specifically objects to this question on ground that it is volative of the attorney-client communications and/or attorney work product privileges, is misleading and biased and cannot be fairly or completely answered with a "yes" or "no."  Was the testing doctor or clinician referred to you by counsel?  Further, Claimant objects to this question on the ground that it is volative of the attorney-client communication and/or attorney work product privileges.  Are you aware of any relationship between either the doctor or clinician and your legal counsel?  Further, Claimant objects to this question on the ground that it is volative of the attorney-client communication and/or attorney work product privileges.  If yes, please explain:
c. Claimant objects to the following questions in Part II, Subsection 5 regarding Claimant's relationship to the doctor interpreting the results of the pulmonary function test on the ground that these questions are violative of the attorney-client communication and/or attorney work product privileges, leading, harassing and seek information that is not relevant to the proceeding. Claimant has additional, specific objections for the questions noted below.
With respect to your relationship to the doctor interpreting the results of the pulmonary function test, check all applicable boxes:  Was the doctor your personal physician?
Did you retain counsel in order to receive any of the services performed by the doctor?   Yes No  Further, Claimant specifically objects to this question on the ground that it is voilative of the attorney-client communications and/or attorney work product privileges, is misleading and biased and cannot be fairly or completely answered with a "yes" or "no."  Yes No  Further, Claimant objects to this question on the ground that it is volative of the attorney-client communication and/or attorney work product privileges.  Are you aware of any relationship between either the doctor or clinician and your legal counsel?  Yes No  Further, Claimant objects to this question on the ground that it is volative of the attorney-client communication and/or attorney work product privileges.  If yes, please explain:

Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and documents attached hereto.

### 7. Subsection 6 - Information Regarding Pathology Reports

- a. Claimant objects to Part II, Subsection 6 on the ground that it exceeds the scope of discovery in that it seeks information that is not relevant to the subject matter of the estimation proceeding, that is non-discoverable, and that is subject to the consulting expert, work product and attorney-client privileges. Claimant further objects to this question as it is not reasonable and necessary to this proceeding and is harassing. Claimant further objects to this question to the extent that it purports to required Claimant to gather and summarize information contained in documents already provided herewith, and is thus obtainable with equal or greater facility by the Debtor. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and documents attached hereto.
- b. Claimant objects to Part II, Subsection 6 of the Discovery Questionnaire as violative of the attorney-client communication and/or attorney work product privileges to the extent that it asks: "if Claimant retained counsel in order to receive any of the services preformed by the diagnosing doctor," if "the diagnosing doctor was referred to you by counsel"; and if Claimant is "aware of any relationship between the diagnosing doctor and your legal



counsel". Claimant further objects to the following questions in Part II, Subsection 6 regarding Claimant's relationship to the doctor issuing the pathology report on the ground that these questions are leading, harassing and seek information that is not relevant to the proceeding. Claimant has additional, specific objections for the questions noted below.

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	With respect to your relationship to the doctor issuing the pathology report, check all applicable boxes:  Was the doctor your personal physician?  Yes  No  Further, Claimant specifically objects to this question on the ground that it is vague and ambiguous.
	Was the doctor paid for these services that he/she performed? Yes . No No lf yes, please indicate who paid for the services performed:
	Did you retain counsel in order to receive any of the services performed by the doctor?  Further, Claimant specifically objects to this question on the ground that it is violative of the attorney-client communications and/or attorney work product privileges, is misleading and biased and cannot be fairly or completely answered with a "yes" or "no.' Yes No Further, Claimant objects to this question on the ground that it is violative of the attorney-client communication and/or attorney work product privileges.  Are you aware of any relationship between either the doctor or clinician and your legal counsel? Yes No If yes, please explain:

Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and documents attached hereto.

### 8. Subsection 7 - Medical Treatment From Doctor for Condition Alleged

Claimant objects to this question on the grounds that it exceeds the scope of discovery in that it seeks information that is not relevant to the subject matter of the estimation proceeding, that is non-discoverable, and that is subject to this question is harassing. Additionally, Claimant objects to Part II, Subsection 7 of the Discovery Questionnaire to the extent that it asks if Claimant "retained counsel in order to receive any of the services performed by the diagnosing doctor."

Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and documents attached hereto.

### PART III: DIRECT EXPOSURE TO GRACE ASBESTOS-CONTAINING PRODUCTS

Claimant objects to Part III in its entirety on the ground that it is onerous, unduly burdensome and harassing in that it would require a great amount of time, labor and expense to create a chart of exposure to the Debtor's products in the format requested by Debtor. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Subject to and without waiving the foregoing objections, the information responsive to this request may be derived from the documents attached to Claimant's Questionnaire, including, where available, Plaintiff's Exposure History Sheet ("PEH"), and Claimant's Answers to Interrogatories filed in connection with Claimant's Asbestos Lawsuit (referred to in Questionnaire response as "interrogatory responses").

### PART IV: INDIRECT EXPOSURE TO GRAE ASBESTOS-CONTAINING PRODUCTS



- 1. Claimant objects to Part IV, question 1 to the extent that it is vague and ambiguous with regard to the use of the terms "contact/proximity" and "injured person". Claimant further objects to this question on the ground that it implicitly asserts unproven conclusions as established facts. Specifically, Claimant may have been injured by exposure to Grace products as a result of contact or proximity to another person, but no necessarily an "injured" person. To the best of Claimant's ability, Claimant understands the question to ask whether Claimant's injury is caused solely by contact/proximity with another person. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 2. Claimant objects to Part IV, question 2 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 3. Claimant objects to Part IV, question 3 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 4. Claimant objects to Part IV, question 4 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 5. Claimant objects to Part IV, question 5 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Claimant also objects to this question on the ground that Claimant may not know the specific day, month, and year of another person's exposure and therefore cannot answer the question. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.



6. Claimant objects to Part IV, question 6 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.

- 7. Claimant objects to Part IV, question 7 to the extent that it is vague and ambiguous with regard to the use of the undefined term "Other Injured Person". Specifically, there my not be an "Other Injured Person" responsible for Claimant's injuries. Claimant further objects to this question to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Additionally, Claimant objects to this question as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 8. Claimant objects to Part IV, question 8 to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Claimant further objects to the use of the term "Nature" in that it is vague and ambiguous. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 9. Claimant objects to Part IV, question 9 to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Claimant further objects to the use of the term "Nature" in that it is vague and ambiguous. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 10. Claimant objects to Part IV, question 10 to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Additionally, Claimant objects to this question on the ground that Claimant may not recall exact day, month, and year his or her exposure began or ended, and, in that regard, this question is unduly burdensome. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.

### PART V: EXPOSURE TO NON-GRACE ASBESTOS-CONTAING PRODUCTS

Claimant objects to Part V as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding. Claimant objects to this interrogatory on the ground that it is unduly burdensome, onerous and harassing in that it would require great amount of time, labor and expense to create a chart of exposure in the format requested by the Debtor an then to create a separate chart for each party against which Claimant has filed an asbestos lawsuit or claim. Claimant further objects to Part V to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Subject to and without waiving the foregoing objections, the information responsive to this request may be derived from the documents attached to



Claimant's Questionnaire, including, where available, Plaintiff's Exposure History Sheef ("PEH"), and Claimant's Answers to Interrogatories filed in connection with Claimant's Asbestos Lawsuit ("interrogatory responses"). In addition, Claimant has attached to the Questionnaire a List of Parties Against which a Lawsuit or Claim was Filed (List of Parties").

### PART VI: EMPLOYMENT HISTORY

Claimant objects to part VI on the ground that it is unduly burdensome and harassing and it would require a great amount of time, labor, and expense to complete this section of the Questionnaire in the format requested by the Debtors. Claimant further objects to this question because it requires Claimant to compile or summarize information from Claimant's Social Security records that is obtainable with equal or greater facility by the Debtor. Claimant further objects to providing information, including but not limited to, the occupation and industry codes for employers or jobsites where Claimant has not alleged any asbestos exposure as it exceeds the scope of permissible discovery in that it is not relevant to the subject matter of the estimation proceeding, and is harassing to Claimant.

Subject to and without waiving the foregoing objections, the information responsive to this request may be derived from the documents attached to Claimant's Questionnaire, including, where available, Claimant's Itemized Statement of Earnings prepared by the Social Security Administration, Plaintiff's Exposure History Sheet ("PEH"), and Claimant's deposition, if available.

### PART VII: LITIGATION AND CLAIMS REGARDING ASESTOS AND/OR SILICA

### Subsection a. - Litigation

- 1. Claimant objects to part VII, Subsection a, question 1 as it is overly broad in that it is not limited to asbestos-related personal injury lawsuits; and further, it is not limited to the lawsuit in which the Debtor was sued. Claimant responds to this entire Subsection a (questions 1 through 7) as if the question were so limited. Claimant further objects to this subpart to the extent that is requires Claimant to summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor, or that is actually attached to this Questionnaire. Responding to Part VII, Subsection a in the format in Debtor's Questionnaire is harassing and unduly burdensome. Subject to and without waiving the forgoing, please see Claimant's Questionnaire responses and the documents attached hereto. Claimant's counsel does not represent Claimant in a lawsuit regarding silica.
- Claimant objects to Part VII, Subsection a, question 2, to the extent that it requires Claimant to compile or summarize information made available to Debtor that is obtainable with equal or greater facility by the Debtor. Claimant further objects to this subpart as it is overly broad in that it is not limited to asbestos-related personal injury lawsuits. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 3. Claimant objects to Part VII, Subsection a, question 3 on the ground that it is harassing in that Grace knows equally well as Claimant whether or not it was



named as a defendant in Claimant's lawsuit, if any. Claimant objects to this subpart as it is overly broad in that it is not limited to asbestos-related personal injury lawsuits. Further, Claimant objects to this subpart on the ground that it exceeds the permissible scope of discovery in that it seeks information that is not relevant to the estimation proceeding. Subject to and without the foregoing, please see Claimant's Questionnaire responses and the documents attached hereto.

- 4. Claimant objects to Part VII, Subsection a, question 4 on the ground that it seeks information that is neither relevant nor necessary to the estimation proceeding. Whether a particular defendant has been dismissed has no bearing on Debtor's several share of the liability. Additionally, Claimant objects on the ground that it is unduly burdensome to provide the basis for dismissal for each of the dismissed defendants, if any. Claimant further objects to this subpart as it is overly broad in that it is not limited to asbestos-related personal injury lawsuits. Subject to and without waiving the forgoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- 5. Claimant objects to Part VII, Subsection a, question 5 on the ground that it exceeds the scope of discovery in that it seeks information that is not relevant or necessary to the estimation proceeding. The existence and amount of any verdict or judgment against any defendant other than Debtor has no bearing on Debtor's several share of the liability. Claimant objects to this subpart as it is overly broad in that it is not limited to asbestos-related personal injury lawsuits. Subject to and without waiving the forgoing, please see Claimant's Questionnaire responses and the documents attached hereto.
- Claimant objects to Part VII, subsection a, question 6 and each of its subparts regarding settlements reached in the lawsuit as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceedings and requests privileged or confidential information. Specifically, the terms of the settlement agreements, if any, including the settlement amounts, if any are irrelevant to an aggregate estimate of Debtor's liability. Claimant further objects to this subpart as it is overly broad to the extent that it seeks information beyond asbestos-related personal injury lawsuits. Settlements with other defendants are irrelevant to Debtor's several share of the liability, and Debtor would get a set-off or credit for such settlements only for the cases that were tried all the way to judgment, which clearly will not happen in the context of the present proceeding. Further, for the majority of defendants, any settlement agreements are subject to a confidentiality agreement.

Claimant specifically objects to providing the "disease or condition alleged" and the "the disease or condition settled" (Part VII,a,6(c) and (d)) on the ground that it is ambiguous and harassing. Claimant filed a lawsuit alleging Claimant suffered injuries proximately caused by Claimant's exposure to asbestos-containing products designed, manufactured and sold be the Defendants name in the lawsuit, and any settlements were related to those allegations. Subject to and without waiving the forgoing, please see Claimant's Questionnaire responses and the List of Parties attached hereto. Claimant has provided information regarding the status



of his/her claim against the Defendants names in Claimant's Asbestos Lawsuit in the attached List of Parties.

7. Claimant objects to Part VII, Subsection a, question 7 on the ground that it is overly broad and unduly burdensome. Further, Claimant's deposition testimony is duplicative of information already provided herein. Subject to and without waiving the foregoing, please see Claimant's Questionnaire responses and the documents attached here to.

### Subsection b - Claims

- 1. Claimant objects to Part VII, Subsection b, question 1 as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding and requests privileged or confidential information. Claimant further objects that responding to Part VII, Subsection b in the format in Debtor's Questionnaire is harassing and unduly burdensome. Additionally, Claimant objects on the ground that this question is overly broad in that it is not limited to asbestos-related personal injury claims. Claimant responds to this entire subsection b (questions 1 through 7) as if the questions were so limited. Subject to and without waiving the foregoing, Claimant has provided information regarding the status of his asbestos claim against any asbestos trust in the attached List of Parties.
- Claimant objects to Part VII, Subsection b, questions 2 and 3 on the ground that it seeks information that is neither relevant nor necessary to the estimation proceeding. The date and entity against whom a claim was submitted has no bearing on Debtor's several share liability. Additionally, Claimant objects on the ground that this question is overly broad in that it is not limited to asbestos-related personal injury claims. Subject to and without waiving the foregoing, please see the attached List of Parties.
- 3. Claimant objects to Part VII, Subsection b, questions 5 and 6 regarding settlements with an asbestos trust as it exceeds the permissible scope of discovery in that it is not relevant to the subject matter of the estimation proceeding and requests privileged or confidential information. The settlement amounts are not relevant to an <u>aggregate</u> estimate of Debtor's liability or to Debtor's several share of the liability. Additionally, Claimant objects on the ground that this question is overly broad in that it is not limited to <u>asbestos-related personal injury</u> claims. Subject to and without waiving the foregoing, Claimant has noted the settled claims on the attached List of Parties.

### PART VIII: CLAIMS BY DEPENDANTS OR RELATED PERSONS

Claimant objects to Part VIII on the ground that information regarding dependants or related persons who sued the Debtors before April 2, 2001 is equally available to Debtors. Subject to and without waiving the foregoing, Claimant has provided information regarding Claimant's spouse where available. Please see attached interrogatory responses and Debtor's own records for the information regarding dependants.



### **QUESTIONNIRE RESPONSES**

Claimant hereby incorporates by reference all of the foregoing general and specific objections into Claimant's Questionnaire responses and has provided the following responses subject to those objections. Because the burden of deriving or ascertaining the answer is substantially the same for Debtor as for Claimant, claimant has specified in Claimant's Questionnaire response the document(s) from which the answer may be derived, including but not limited to Claimant's medical records, Plaintiff's Exposure History Sheet (referred to in Questionnaire response as "PEH"), Claimant's Answers to Interrogatories filed in connection with Claimant's Asbestos Lawsuit (referred to in Questionnaire response as "interrogatory responses"), Claimant's Itemized Statement of Earnings prepared by the Social Security Administration (referred to in Questionnaire responses as "Statement of Earnings") and Claimant's deposition. In addition, Claimant has compiled information responsive to the Questionnaire in the attached List of Parties Against which a Lawsuit or Claim Was Filed (referred to in the Questionnaire responses as "List of Parties").

EDWARD O. MOODY, P.A.

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Telephone: (501) 614-9626 Facsimile: (501) 614-9889 WR GRACE PIQ 43987-0044

Departments of
Settlement Release Processing
and
Bankrupt Defendant Claims

July 10, 2006

Rust Consulting Inc. Claims Processing Agent 201 S. Lyndale Ave. Faribault, MN 55021

Edward O. Moody\*

\*Also Licensed in Texas

Lewis E. Ritchey

Re: W.R. Grace & Co. Bankruptcy

To Whom It May Concern:

Enclosed are the completed claim forms and medical documentation for the individuals list on the attached list. Please note that some of these claim forms you have previously received from our office. However, due to the original time constraints we were unable to have the clients sign the questionnaires. The questionnaires have now been signed by both Mr. Edward Moody and the claimant. Should you have any questions, please do not hesitate to contact me.

Sincerely,

Jennifer Peachey

Bankruptcy Department Manager

WR GRACE PIQ 43987-0045

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